



TCX INVESTMENT MANAGEMENT COMPANY B.V. (TIM)

As manager of The Currency Exchange Fund (TCX or the Fund)

Job Title: Compliance Officer

This position requires Dutch citizenship/residency and fluency in Dutch and English.

Reports to: Vice-President Legal & Regulatory Affairs

Background information: TCX is an impact fund working in the space of development finance. The mission of the Fund is to protect borrowers in developing countries against the foreign exchange risks that arise when they borrow in currencies other than their own currency. The Fund absorbs these risks through derivative transactions (cross-currency swaps) in 50+ currencies, thus mitigating for itself the risks of being excessively exposed to any single currency. The capital deployed to support this activity presently exceeds USD 1 billion.

The Fund is managed by a highly motivated and diverse international team that oversees its relationships with 25+ investors, 50+ counterparties, and 25+ service providers. Reflecting the growing size of the Fund and the increasing complexity of its regulatory environment, the Compliance Officer occupies a new position within TIM that is primarily focused on client due-diligence and risk assessment and supporting the VP Legal & Regulatory Affairs and TIM's Chief Operating Officer.

Primary Responsibilities: The Compliance Officer has the following responsibilities:

- Performing KYC/AMLCTF and ESGT checks on new and existing investors, counterparties and service providers using pre-approved checklists.
- Performing periodic risk assessments of certain Key Service Providers using pre-approved templates.
- Tracking the applicable laws and regulations and regulatory guidance documents and recommending and securing approval of any required changes to the KYC/AMLTF/ESGT policies, processes, checklists and templates to ensure compliance.
- Satisfying all incoming KYC/AMLTF requests from investors, counterparties, and service providers (complete forms, provide documents, address questions, etc.)
- Supporting the VP Legal & Regulatory Affairs in the execution of their duties, including:
 - o regulatory gap analysis and closure;
 - o day-to-day regulatory compliance work and reporting;
 - o share issuances and repurchases;
 - o orchestration and minuting of board and shareholder meetings;
 - o maintenance of corporate documents;



- Supporting the other members of TIM Operations in the performance of their duties, which may entail performing certain tasks and controls in the following areas: financial administration and reporting/audit, systems and data management.

Qualifications

Experience: The ideal candidate has 3-4 years of experience in a comparable role in a regulated financial services firm in The Netherlands.

Competencies: In addition to the previous experience, the ideal candidate possesses some or all the following professional or personal competencies, and/or is willing and able to develop these:

- Knowledge the AIFMD, Wft, and Wwft
- Knowledge of the GDPR and SFDR.
- Knowledge of corporate law and governance;
- Knowledge of contract law;
- Knowledge of finance and accounting and IT systems;
- Knowledge of asset management
- Knowledge of derivatives
- Driven, pro-active, result-oriented, and accountable personality who sets and meets deadlines and can multi-task flexibly, tenaciously, and patiently;
- Ability to function independently within a small team of professionals; willingness to take-on tasks irrespective of their perceived value content; understanding that a determined team effort will yield maximum results.
- Excellent communication and social skills; comfortable in developing relationships at all levels internally and externally;
- Affinity with TCX's mission and an interest in emerging and frontier markets.

Academic Qualifications HBO / Bachelor's Degree in a related field (business, law, economics, etc.)

Languages Fluency in Dutch and English are a must. The day-to-day language is English, most legal and other documents are in English, and a significant portion of the applicable laws and regulations and documents are in Dutch.

Computer skills Proficiency in the use of the Microsoft Office suite.

We offer

A competitive package and a highly professional, diverse and mission-driven work environment

Contact Person

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